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DOL FIDUCIARY DISCLOSURE NOTICE AS OF 2/16/2021

This disclosure serves to notify our clients and prospects that under the current provisions of Title 1 of the Employee Retirement Income Security Act of 1974 (ERISA) and the Internal Revenue Code of 1986 (the Code) the George M. Hiller Companies, LLC is acting as a fiduciary and as such is subject to high standards of prudence and loyalty and strict prohibited transaction restrictions including adherence to the Best Interest Contract Exemption. The DOL issued PTE 2020-02 which became effective as of February 16, 2021.

The George M. Hiller Companies, LLC is relying on the prohibited transaction exemption under ERISA and the Code for investment advice fiduciaries with respect to employee benefit plans and individual retirement accounts. We are complying with the Impartial Conduct Standards that require the advisor and its registered investment adviser representatives to provide advice that is in the client's best interest, receive only reasonable compensation, and make no materially misleading statements about investment transactions, compensation, and conflicts of interest.

The way we make money creates some conflicts with your interests, so we operate under a special rule that requires us to act in your best interest and not put our interests ahead of yours.

Under this special rule's provisions, we must:

- Meet a professional standard of care when making investment recommendations (give prudent advice);
- Never put our financial interests ahead of yours when making recommendations (give loyal advice);
- Avoid misleading statements about conflicts of interest, fees, and investments;
- Follow policies and procedures designed to ensure that we give advice that is in your best interest;
- Charge no more than is reasonable for our services; and
- Give you basic information about conflicts of interest